

**Legal Services Corporation
Meeting of the Audit Committee**

Open Session

Monday, April 7, 2014

Chairman Victor B. Maddox convened an open session meeting of the Legal Services Corporation's ("LSC") Audit Committee ("the Committee") at 9:05 a.m. on Monday, April 7, 2014. The meeting was held at the F. William McCalpin Conference Center, LSC Headquarters, 3333 K Street, NW, Washington, DC 20007.

The following Committee members were in attendance:

Victor B. Maddox, Chairman
Gloria Valencia-Weber
David Hoffman, Non-Director Member (by telephone)
Paul L. Snyder, Non-Director Member (by telephone)
John G. Levi, ex officio

Other Board members present:

Sharon L. Browne
Robert J. Grey, Jr.
Charles N.W. Keckler
Father Pius Pietrzyk, O.P.
Laurie Mikva
Martha L. Minow
Julie A. Reiskin

Also in attendance were:

James Sandman	President
Lynn Jennings	Vice President for Grants Management
Rebecca Fertig Cohen	Special Assistant to the President
Richard L. Sloane	Chief of Staff and Special Assistant to the President
Ronald S. Flagg	Vice President for Legal Affairs, General Counsel & Corporate Secretary
Katherine Ward	Executive Assistant, Office of Legal Affairs
Traci Higgins	Director, Office of Human Resources
David L. Richardson	Treasurer and Comptroller, Office of Financial and Administrative Services
Wendy Rhein	Chief Development Officer
Jeffrey E. Schanz	Inspector General

Thomas Coogan	Assistant Inspector General for Investigations, Office of the Inspector General
David Maddox	Assistant Inspector General for Management and Evaluation, Office of the Inspector General
John Seeba	Assistant Inspector General for Audit, Office of the Inspector General
Laurie Tarantowicz	Assistant Inspector General & Legal Counsel, Office of the Inspector General
Grace Nyakoe	Office of Inspector General
Roxanne Caruso	Office of Inspector General
Carol Bergman	Director, Office of Government Relations and Public Affairs
Wendy Long	Executive Assistant, Office of Government Relations and Public Affairs
Marcos Navarro	Office of Government Relations and Public Affairs
Janet LaBella	Director, Office of Program Performance
Lora M. Rath	Director, Office of Compliance and Enforcement
Frank B. Strickland	Non-Director Member, LSC's Institutional Advancement Committee
Herbert Garten	Non-Director Member, LSC's Institutional Advancement Committee
Thomas Smegal	Non-Director Member, LSC's Institutional Advancement Committee
Allan Tanenbaum	Non-Director Member, LSC's Finance Committee
Robert E. Henley, Jr.	Non-Director Member, LSC's Finance Committee
Reginald J. Haley	Program Analyst, Office of Program Performance
LaVon Smith	Office of Information Technology
Ed Marks	Executive Director, New Mexico Legal Aid
Lisa Schatz Vance	Development Director, New Mexico Legal Aid
Calvin Harris Jr.	CPA, President Change Management, Consulting, Harvin Consulting
Terry Brooks	American Bar association Standing Committee on Legal Aid and Indigent Defendants (SCLAID)
Don Saunders	National Legal Aid and Defender Association (NLADA)
Robin C. Murphy	National Legal Aid and Defender Association (NLADA)
Dominique Martin	Law99.com

The following summarizes actions taken by and presentations made to the Committee:

Committee Chairman Maddox called the meeting to order.

MOTION

Mr. Hoffman moved to approve the agenda. Mr. Snyder seconded the motion.

VOTE

The motion was approved by voice vote.

MOTION

Professor Valencia-Weber moved to approve the minutes of the Committee's meeting of January 23, 2014. Mr. Hoffman seconded the motion.

VOTE

The motion passed by voice vote.

Ms. Higgins briefed the Committee on the performance of LSC's 403(b) plan and answered Committee member's questions.

Mr. Schanz informed the Committee of the recent retirement of Ronald "Dutch" Merryman and introduced his successor, John Seeba, to the position of Inspector General for Audit. Next, Mr. Schanz briefed the Committee on the Office of the Inspector General's (OIG) meeting with the Government Accountability Office (GAO), and its scheduled audit and peer reviews.

Mr. Flagg presented the revised LSC Risk Management matrix and answered Committee members' questions and suggestions.

Next, Ms. Rath gave a briefing on the Office of Compliance and Enforcement's (OCE) follow-up of referrals from the OIG regarding audit and investigation reports and the annual independent public accountants' audits of grantees. Ms. Rath and Mr. Seeba answered Committee members' questions.

Committee Chairman Maddox invited public comment and received none.

There was no new business to consider.

MOTION

Professor Valencia-Weber moved to adjourn for briefings in closed session. Mr. Levi seconded the motion.

VOTE

The motion passed by voice vote.

The Committee meeting adjourned for briefings in closed session at 10:19 a.m.

I hereby certify that this is a true and correct copy of the minutes adopted by the Legal Services Corporation's Audit Committee on July 21, 2014.



Ronald S. Flagg
Vice President for Legal Affairs,
General Counsel & Corporate Secretary